VI MEZ Sequence IN THE UNITED STATES PATENT AND TRADEMARK OFFICE S In re Patent Application of Atty Dkt. 1721-42 C# GHESQUIERE et al Group Art Unit: Serial No. 10/023,476 Examiner: Filed: December 20, 2001 Date: March 12, 2002 0920->0360 MEANS FOR IDENTIFYING THE LOCUS OF A MAJOR RESISTANCE GENE TO Title: THE RICE YELLOW MOTELE YEARS, AND THEIR APPLICATIONS Assistant Commissioner for Ratents Washington, DC 20231 Sir: ESPONSE/AMENDMENT/LETTER This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon. Fees are attached as calculated below: Total effective claims after amendment minus highest number \$ previously paid for 20 (at least 20) = \$ 18.00 0.00 Independent claims after amendment minus highest number previously paid for (at least 3) =\$ 84.00 0.00 3 0 If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) \$ 0.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months) \$ 0.00 Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00 First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00) \$ 0.00 Please enter the previously unentered , filed Submission attached Subtotal 0.00 If "small-ontity," then enter half (1/2) of subtotal and subtract 0.001 Applicant claims "small entity" status.

Statement filed herewith Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00 Assignment Recording Fee (\$40.00) 40.00 Other: Copy of Cover Sheet, Declaration, Amendment filed February 13, 2002; copy of postcard receipt of 0.00 February 13, 2002; Substitute Specification;; Copy of Notice to File Missing Parts; Statement w/paper and computer-readable copies of Sequence Listing; English translation of International Preliminary Examination Report; Certified Copy of Priority Document FR 99/07834; Marked up Figure 11; Revised Figure 11. 40.00 TOTAL FEE ENCLOSED \$ The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. 1100 North Glebe Road, 8th Floor NIXON & VANDERHYE P.C. Arlington, Virginia 22201-4714 By Atty: B. J. Sadoff, Reg. No. 36,663 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

Signature:

BJS:eaw



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/023,476

12/20/2001

Alain Ghesquiere

1721-42

CONFIRMATION NO. 3480

FORMALITIES LETTER

OC000000007467031

NIXON & VANDERHYE P.C. 8th Floor 1100 North Glebe Road Arlington, VA 22201

Date Mailed: 02/13/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$712.
 - \$180 for 10 total claims over 20.
 - \$252 for 3 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1582.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")
- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE